# Exhibit 37

# **EXHIBIT A**

## Case 2:22-cv-00293-JRG Document 150-6 Filed 09/14/23 Page 3 of 15 PageID #: 13128

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00134-LY Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00136-LY

## I. Terms to Be Jointly Construed with Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00134-LY

## A. <u>U.S. Patent No. 8,301,833 ("'833 Patent")</u>

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
1. "volatile memory subsystem" / "non- volatile memory subsystem" ('833 Pat., Cl. 15)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.	*833 Patent	"volatile memory subsystem" means "one or more volatile memory devices."  "non-volatile memory subsystem" means "one or more non-volatile memory devices."	***33 Patent  4:57-61  5:48-6:36  7:66-8:56  10:43-58  12:53-55  13:57-14:47  15:59-16:37  17:18-21  18:22-50  19:20-26  20:14-61  Fig. 1  Fig. 2  Fig. 3  Fig. 8  ***SanDisk Corp. v. Netlist, Inc., IPR2014-00994, Paper 7 (Patent Owner Preliminary Response) (Opening Br., Ex. 14) at p. 12.

# Case 2:22-cv-00293-JRG Document 150-6 Filed 09/14/23 Page 4 of 15 PageID #: 13129

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
				SanDisk Corp. v. Netlist,
				<i>Inc.</i> , IPR2014-00994,
				Paper 8 (Trial Institution
				Decision) (Opening Br.,
				Ex. 15) at p. 12.
				SMART Modular Tech.,
				Inc. v. Netlist, Inc.,
				IPR2014-01370, Paper
				11 (Patent Owner
				Preliminary Response)
				(Opening Br., Ex. 16) at
				pp. 11-12.
				SMART Modular Tech.,
				Inc. v. Netlist, Inc.,
				IPR2014-01370, Paper
				13 (Trial Institution
				Decision) (Opening Br.,
				Ex. 17) at p. 16.
				SK hynix Inc. et al. v.
				Netlist, Inc., IPR2017-
				00649, Paper 6 (Patent
				Owner Preliminary
				Response) (Opening Br.,
				Ex. 18) at p. 4.
				1

#### Case 2:22-cv-00293-JRG Document 150-6 Filed 09/14/23 Page 5 of 15 PageID #: 13130

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
2. "controller configured to decouple the non-volatile memory subsystem from the volatile memory subsystem in the first mode of operation and to couple the non-volatile memory subsystem to the volatile memory subsystem in the second mode of operation" ('833 Pat., Cl. 16)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  Not subject to § 112, ¶ 6.	**\frac{'833 \text{ Patent}}{	This is a means-plus-function limitation.  Function: entire limitation after "configured to."  Corresponding Structure: "controller that is separate from the volatile and non-volatile memory subsystems," as described in the '833 Patent, 6:63-7:40.	**833 Patent  Abstract  3:62-64  4:57-61  6:54-7:40  7:66-8:56  10:19-22  13:57-14:47  15:59-16:37  18:29-20:61  Fig. 1  Fig. 2  Fig. 3  Fig. 8  **SanDisk Corp. v. Netlist, Inc., IPR2014-00994, Paper 8 (Trial Institution Decision) (Opening Br., Ex. 15) at p. 12.  Declaration of Harold S. Stone, Ph. D.

## Case 2:22-cv-00293-JRG Document 150-6 Filed 09/14/23 Page 6 of 15 PageID #: 13131

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00134-LY Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00136-LY

## II. Terms to Be Jointly Construed with Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00136-LY

## A. <u>U.S. Patent Nos. 9,824,035 and 10,268,608 ("Lee Patents")</u>

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
1. "module control device" ('035 & '605 Pats., Cl. 1)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  Neither indefinite nor subject to § 112, ¶ 6.	**O35 Patent  1:40-2:35 4:18-43 4:63-5:8 5:55-67 8:6-30 9:49-54 Figs. 1, 2A, 2B, 2C, 2D, 7, 12A, 12B  **O88 Patent 1:43-2:36 4:20-35 4:65-5:10 5:58-6:3 8:9-34 9:52-57 Figs. 1, 2A, 2B, 2C, 2D, 7, 12A, 12B  Declaration of Steven Przybylski, Ph. D.	This is a means-plus-function limitation.  Function: entire limitation after "configured to."  Corresponding Structure: Indefinite – no corresponding structure	Declaration of Alan Jay Smith, Ph. D. (No intrinsic evidence because no corresponding structure)

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
2. "logic" ('035 Pat., Cl. 1)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  Neither indefinite nor subject to § 112, ¶ 6.	**Yodence**  **Yod	This is a means-plus- function limitation.  Function: entire limitation after "configured to." In addition, the entire limitation after "the logic is further configured to."  Corresponding Structure: Indefinite – no corresponding structure	Declaration of Alan Jay Smith, Ph. D. (No intrinsic evidence because no corresponding structure)  '035 Patent  13:44-48  14:60-65
3. "command processing circuit" ('608 Pat., Cl. 1)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire	<ul> <li><u>'608 Patent</u></li> <li>4:65-5:4</li> <li>5:43-57</li> <li>10:50-11:7</li> <li>12:11-26</li> </ul>	This is a means-plus- function limitation.  Function: entire limitation after	Declaration of Alan Jay Smith, Ph. D. (No intrinsic evidence because no corresponding structure)

# Case 2:22-cv-00293-JRG Document 150-6 Filed 09/14/23 Page 8 of 15 PageID #: 13133

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00134-LY Netlist, Inc. v. Micron Technology, Inc., et al., No. 1:22-cv-00136-LY

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
	disclosure.  Neither indefinite nor subject to § 112, ¶ 6.	<ul> <li>14:57-17:54</li> <li>18:14-20</li> <li>19:1-12</li> <li>Figs. 3, 6, 7, 11A, 11B, 12A, 12B, 14-16, 19</li> <li>Declaration of Steven Przybylski, Ph. D.</li> </ul>	"configured to" and before "and a delay circuit."  Corresponding Structure: Indefinite – no corresponding structure	

## B. <u>U.S. Patent No. 10,489,314 ("'314 Patent")</u>

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
1. "burst of data strobes" ('314 Pat., Cls. 1, 15, 25, 28)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure. Not indefinite.  In the alternative "strobe signals with successive rising and falling edges, each edge	*314 Patent  3:17-4:30  5:36  6:39-43  7:28-48  13:18-51  13:60-14:22  14:41-56  22:59  23:27  23:67  26:9  31:35	Indefinite.	**314 Patent

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed Construction	Plaintiff's Supporting Evidence	Defendants' Proposed Construction	Defendants' Supporting Evidence
	being associated with one or more data bits."	• 35:20-23 • Figs. 2, 6A, 6B, 7  Declaration of Steven Przybylski, Ph. D.  JESD79-2A (Ex. B to Przybylski Decl.) • pp. 26, 29, 30  JESD79-2B (Ex. C to Przybylski Decl.) • pp. 26, 29, 92-103	Construction	Supporting Evidence
2. "logic" terms ('314 Pat., Cls. 1, 15, 25)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  Neither indefinite nor subject to § 112, ¶ 6.	**314 Patent  • claims 1, 3, 15, 28  • 5:64-67  • 7:5-24  • 9:25-51  • 10:47-52  • 11:9-25  • 11:55-13:14  • 15:19-24  • 16:36-39  • 16:60-63  • 17:20-28  • 17:50-55	This is a means-plus-function limitation.  Function  For claim 1,  "respond[ing] to the first/second memory command by providing first/second control signals to the circuitry"	Declaration of Harold S. Stone, Ph. D. (No intrinsic evidence because no corresponding structure)

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
		<ul> <li>22:51-53</li> <li>23:19-23</li> <li>25:4-6</li> <li>25:15-31:30</li> <li>34:42-67</li> <li>Verilog examples 1-3</li> <li>Figs. 5A-5D, 9A-9B</li> <li>Declaration of Steven Przybylski, Ph. D.</li> <li>Wiley Electrical and Electronics Engineering Dictionary (2004) (Ex. E to Przybylski Decl.).</li> </ul>	For claims 15/25,     "output[ting]     first/second     control signals to     the circuitry     in response to the     first/second read     or write memory     command"  Corresponding Structure: Indefinite – no corresponding structure.	
3. "overall CAS latency" / "actual operational CAS latency" ('314 Pat., Cls. 1, 15, 25, 28)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  In the alternative  "overall CAS latency of the memory module"	'314 Patent  Columns 10-13, 25-29, 30-32  7:20-26  9:34-42  10:47-52  15:26-33  22:28-63  25:25  25:44-27:8  29:16-20	"overall CAS latency of the memory module" means "the delay between: (1) the time when a read command is executed by the memory module, and (2) the time when the first piece of data is made available at an output of the memory module"	'314 Patent  • 22:58-62  Double Data Rate (DDR) SDRAM Specification, Standard No. 79, JEDEC Solid State Tech. Corp. (June 2000) (Opening Br., Ex. 11) at pp. 10-11.

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
	means "the delay between: (1) the time when a command is sampled on the memory module, and (2) a time when the first piece of data is available at the data pins of the memory module"  "actual operational CAS latency of each of the memory devices[/of each of the plurality of memory integrated circuits]" means "the delay between: (1) the time when a command is executed by each of the memory devices[/each of the plurality of memory integrated circuits], and (2) a time when the first piece of data is available at the data pins of each of the memory devices[/of each of the plurality of memory integrated circuits]; integrated circuits]"	• 31:34-40 • 36:36-38 • 44:49-50 • 45:14 • 45:19-20 • Verilog examples 1-3 • Figs. 3A-5D  Declaration of Steven Przybylski, Ph. D.  JESD79-2A (Ex. B to Przybylski Decl.) • pp. 12, 24, 26  Synchronous DRAM Architectures, Organizations, and Alternative Technologies, by Prof. Bruce L. Jacob, dated December 10, 2002 ("Jacob Article") (Ex. D to Przybylski Decl.) • p. 16  JESD79-2B (Ex. C to Przybylski Decl.) • pp. 11-12, 24	"actual operational CAS latency of each of the memory devices[/of each of the plurality of memory integrated circuits]" means "the delay between: (1) the time when a read command is executed by each of the memory devices[/each of the plurality of memory integrated circuits], and (2) the time when the first piece of data is made available at an output of each of the memory devices[/of each of the plurality of memory integrated circuits]"	DDR SDRAM Registered DIMM Design Specification, Standard No. 21-C, JEDEC Solid State Tech. Corp. (Rev. 1.3, Jan. 2002) (Opening Br., Ex. 12) at p. 68.  Declaration of Harold S. Stone, Ph. D.

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
Camin Term	Construction	Evidence	Construction	Supporting Evidence
4. "circuitry" terms ('314 Pat., Cls. 1, 15, 25, 28)	Plain and ordinary meaning, as would be understood by one of ordinary skill in the art in the context of the entire disclosure.  Neither indefinite nor subject to § 112, ¶ 6.	**314 Patent	This is a means-plus- function limitation.  The identified "circuitry" features in claims 1, 15, 25, and 28 are indefinite.  For claim 1, the "circuitry" feature is subject to § 112, ¶ 6, but there is no disclosure of adequate structure or algorithm for the functions of: (i) enabl[ing] data transfers through the circuitry in response to the first control signals; (ii) enabl[ing] data transfers through the circuitry subsequently in response to the second control signals; and (iii) add[ing] a predetermined amount of time delay for each registered data transfer through the circuitry so that the overall CAS	Declaration of Harold S. Stone, Ph. D. (No intrinsic evidence because no corresponding structure)

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
			latency of the memory	
			module is greater than an	
			actual operational CAS	
			latency of each of the	
			plurality of memory	
			integrated circuits.	
			The "circuitry" feature in	
			claim 15 is subject to §	
			112, ¶ 6, but there is no	
			disclosure of adequate	
			structure or algorithm for	
			the functions of:	
			(i) enabl[ing] data	
			transfers between the	
			first rank and the	
			memory bus through the	
			circuitry in response to	
			the first control signals;	
			and	
			(ii) add[ing] a	
			predetermined amount of	
			time delay for each	
			registered data transfer	
			through the circuitry	
			such that the overall	
			CAS latency of the	
			memory module is	
			greater than an actual	
		<u> </u>	operational CAS latency	

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
			of each of the memory	
			devices.	
			The additional	
			"circuitry" feature in	
			claim 25 is subject to §	
			112, $\P$ 6, but there is no	
			disclosure of adequate	
			structure or algorithm for	
			the function of:	
			enabl[ing] registered	
			data transfers between	
			the second rank and the	
			memory bus through the	
			circuitry in response to	
			the second control	
			signals.	
			The "circuitry" feature in	
			claim 28 is subject to §	
			112, $\P$ 6, but there is no	
			disclosure of adequate	
			structure or algorithm for	
			the functions of:	
			(i) add[ing] a	
			predetermined amount of	
			time delay for each data	
			transfer between the	
			memory controller and	
			the memory devices such	

## **EXHIBIT A - JOINT CLAIM CONSTRUCTION STATEMENT**

Claim Term	Plaintiff's Proposed	Plaintiff's Supporting	Defendants' Proposed	Defendants'
	Construction	Evidence	Construction	Supporting Evidence
			that the overall CAS	
			latency of the memory	
			module is greater than an	
			actual operational CAS	
			latency of each of the	
			memory devices; and	
			(ii) the circuitry [of	
			claim 28] includ[ing]	
			logic pipelines	
			configured to enable data	
			transfers between the	
			first rank and the	
			memory bus in response	
			to the first read or write	
			memory command.	
			•	